CONNECTICUT RESOURCES RECOVERY AUTHORITY REGISTRATION INSTRUCTIONS

These instructions are to be used by parties that deliver or remove waste or waste byproducts from any Connecticut Resources Recovery Authority (CRRA) facility. Prior to CRRA authorizing admittance to any facility, parties are required to complete the enclosed application form(s) and submit it to CRRA for approval before permits may be issued.

NOTE: ALL VEHICLES MUST HAVE AUTOMATIC / MECHANICAL DUMPING CAPABILITIES. NO HAND UNLOADING

INSTRUCTIONS

- 1. **Permit Application Form** (all applicants must complete Part I IV)
 - **A.** Part I General Information
 - B. Part II Vehicle Information
 - C. Part III Guaranty of Payment Worksheet
 - **D.** Part IV Terms and Conditions
 - E. Part V Solid Waste Delivery Agreement (Required for Waste Haulers, as defined in the Procedures)

2. Attachments

A. Certificate(s) of Insurance

Sample ACORD form enclosed. Must meet the required limits of liability listed in the Permitting, Disposal and Billing Procedures ("Procedures").

B. Guaranty of Payment

Must meet the required limits as listed in the Procedures and remain valid through $\underline{\text{June } 30^{\text{th}}}$ of each fiscal year.

Acceptable Forms for Security includes Financial Guarantee Bond [B1] (required language enclosed), Bank Letter of Credit [B2] (required language enclosed) or a Cashier's Check.

C. Current Fiscal Year Pricing Tables

3. Permit Fees (per vehicle)

Payment_is required for permits fees when submitting your application. Permit fees are NOT pro-rated. Prices shown below are per vehicle.

Customer	Municipalities	Non-Municipalities
One Facility	\$0.00	\$100.00
Replacement Permit(s)	\$0.00	\$25.00

Upon approval of your application, CRRA will issue separate permits for each registered vehicle(s). Each permit must be affixed to its respective vehicle in accordance with the Procedures.

Please return all documents to:

CONNECTICUT RESOURCES RECOVERY AUTHORITY

ATTN: BILLING DEPARTMENT

100 CONSTITUTION PLAZA, 6TH FLOOR

HARTFORD, CONNECTICUT 06103

Should you have any questions please contact CRRA's BILLING DEPARTMENT at (860) 757-7700

PART I: GENERAL INFORMATION

Address:	Contact
Address: Town, State, Zip Code:	Contact
Town, State, Zip Code:	Contact
Telephone # ()	Fox # (
	Fax # ()
E-Mail:	
illing Address (if different from above)	
Company Name:	
Address:	
Town, State, Zip Code:	
ll Payer's Federal Tax I.D. #	OR Social Security #
vne of Rusiness	
rop Partnership LLC	C Corp Municipal Officers of Corporation (See Table On Reverse Side)
your company required by law to carry Worke	ers' Compensation Coverage? Yes No
	waste, pallets, cardboard, etc.) See definitions in Procedures for
ceptable waste	
ave you ever received a permit from CRRA bet	fore? Yes No
yes, please provide account number and expira	
own	
	of Insurance) as required by the Procedures. On as determined in Part IV (Guaranty of Payment).
	ees per vehicle (cash or company check)
11	1
A USE ONLY: Date Received:	Account Number:
	de: Date:

Corporation Owners and/or Officers Listing

Title	Name
Title	Name

PART II: VEHICLE INFORMATION

		#	

Vehicle #2

CRRA Use Only PERMIT # Paid \$ Date:	CRRA Use Only PERMIT # Paid \$ Date:
Current Permit # (if applicable):	Current Permit # (if applicable):
COMPANY TRUCK #:	COMPANY TRUCK #:
LICENSE PLATE #:	LICENSE PLATE #:
MAKE OF VEHICLE:	MAKE OF VEHICLE:
MODEL:	MODEL:
VEHICLE TYPE (Use Codes below):	VEHICLE TYPE (Use Codes below):
YEAR:	YEAR:
VIN#	VIN#
* OWNER'S NAME:	* OWNER'S NAME:

Vehicle #3

Vehicle #4

CRRA Use Only PERMIT # Paid \$ Date:	CRRA Use Only PERMIT # Paid \$ Date:
Current Permit # (if applicable):	Current Permit # (if applicable):
COMPANY TRUCK #:	COMPANY TRUCK #:
LICENSE PLATE #:	LICENSE PLATE #:
MAKE OF VEHICLE:	MAKE OF VEHICLE:
MODEL:	MODEL:
VEHICLE TYPE (Use Codes below):	VEHICLE TYPE (Use Codes below):
YEAR:	YEAR:
VIN#	VIN#
* OWNER'S NAME:	* OWNER'S NAME:

Vehicle Type Codes:

DT - Dump Truck

FL - Front Loader

RL - Rear Loader

RO - Roll off

RY - Recycling

SL - Side Loader

TO - Toter/Can Carrier

TR - Tractor

^{*} NOTE: If the vehicles listed above are <u>NOT OWNED and/or REGISTERED</u> under the company name that appears on this application, you must list the name of the company in the section marked OWNERS NAME and provide a Certificate of Insurance for this company,

PARTICIPATING TOWNS **

- 1. Not all materials/products are accepted from every town. Refer to the Appropriate Price Listing (Attachment C) for details.
- 2. Some towns may have individual permitting requirements. Please check with each town before you begin deliveries. If you are already permitted with the town, please indicate below.

(Circle Towns Hauling From)

	Town		Town	Other Connecticut Towns
Tier 1 Long-	Permit	Tier 1 Short-	Permit	Please list other towns you want to deliver
Term	Y/N	Term	Y/N	from:
Avon	1711	Beacon Falls	2 / 11	~
Bethlehem		Durham		
Bloomfield		Haddam		
Canaan		Hartford		
Canton		Middlefield		
Clinton		Middlebury		
Colebrook		Naugatuck		
Cornwall		Oxford	*******	
Deep River		Salisbury		
East Granby		Sharon		
East Hampton		Simsbury		
Ellington		Woodbury		
Essex		,		
Farmington				
Granby		Tier 2		
Glastonbury		Litchfield		
Goshen		Manchester		
Harwinton		South Windsor		
Killingworth				
Lyme				
Marlborough		Tier 3		
Norfolk		Chester		
North Canaan		Thomaston		
Old Lyme				
Old Saybrook				
Portland				
Rocky Hill				
Roxbury				
RRDD#1 (*)				
Torrington				
Watertown				
Wethersfield				

^{*} Includes Barkhamsted, Winchester, New Hartford

^{**} CRRA submits a monthly report to the towns which showing deliveries by hauler.

PART III: GUARANTY OF PAYMENT WORKSHEET

As a condition of permitting, the Authority requires all companies to have a guaranty of payment equivalent to at least two (2) months worth of disposal fees. Please complete the following worksheet to calculate the estimated guaranty of payment. When calculating the guaranty of payment, please include all types of waste to be delivered to the Authority.

Connecticut Solid Waste System				
	Example:	Example	Example	Example
	MSW	NPW	Recycling	Other
1. Type of Waste (a)				
2. Estimated Monthly Deliveries (b)	Tons	Tons	Tons	Tons
3. Enter Price Per Ton (c)	\$	\$	\$	\$
4. Multiple line 2 times line 3	\$	\$	\$	\$
5. Required (d)	x 2 Month	x 2 Month	x 2 Month	x 2 Month
6. Multiple line 4 times line 5	\$	\$	\$	\$
Add all rows of line 6 (e)	\$			

- a. Include any Acceptable Waste to be delivered to the Authority as defined in the Procedures.
- b. Estimate should be based upon the average deliveries of Acceptable Waste (tons) per month.
- c. Use the cost per ton of waste as shown on the attached CRRA price listings or your contract amount, if it is different.
- d. The Procedures requires a guaranty of payment of at least two (2) months worth of disposal fees.
- e. This is the amount you must submit with your application to fulfill the Guaranty of Payment Requirement.

TYPE OF GUARANTY

A. Bond or Letter of Credit

If your guaranty of payment will be in the form of a Bank Letter of Credit or Financial Guaranty Bond, please instruct your agent to use the CRRA required format. (Sample formats are located in the attachment section of this application)

B. Cash Deposits

If your guaranty of payment will be a cash deposit, please submit a Bank or Certified Check with your application. Please do not include this amount with your permit fee.

PART IV: TERMS AND CONDITIONS

CREDIT AGREEMENT

In consideration of the extension of credit to the undersigned by CRRA, in lieu of being required to pay cash in advance or on delivery, the undersigned agrees that all invoices rendered by CRRA for all charges including, but not limited to disposal charges, fines, interest charges and adjustments incurred at the any CRRA Landfills, Waste Processing Facilities, Transfer Stations, or Recycling Facility will be paid within twenty (20) days from the date of invoice or per individual contract terms.

The undersigned further agrees to obtain and to deliver to CRRA a guaranty of payment satisfactory to CRRA in the form of an appropriate Insurance Company Bond, Bank Letter of Credit or a Cashier's Check in an amount sufficient to cover at least two (2) months of waste delivery charges (SEE PART III). If CRRA is notified that the Guaranty of Payment will expire or be terminated, the undersigned shall issue to CRRA a new Guaranty of Payment in accordance with the terms hereof by any deadline date set by CRRA for its receipt of such new Guaranty of Payment or be considered in default and subject to shut out.

RELEASE OF LIABILITY AND INDEMNIFICATION AGREEMENT

As a condition for receiving a permit to haul Acceptable Waste to any CRRA Landfills, Waste Processing Facilities, Transfer Stations, or Recycling Facilities, the undersigned Permittee hereby understands and agrees that the Permittee proceeds on the Landfill, at the Waste Processing Facility, the Transfer Stations and Recycling Facility at its own risk, and it is further understood and agreed that:

The Permittee shall at all times defend, indemnify and hold harmless the Authority, any operator and their respective directors, officers, agents and employees on account of and from and against any and all liabilities, actions, claims, damages, losses, judgments, workers' compensation payments, cost and expenses (including by not limited to attorneys' fees and court costs) arising out of injuries to the person (including death), damage to property or other damages alleged to have been sustained by: (a) the Authority, or any of its directors, officers, agents, subcontractors or employees; or (b) the Permittee, any operator, or any of their respective directors, officers, agents, subcontractors or employees; or (c) any other person to the extent such injuries, damage or damages are caused or are alleged to have been caused in part or in whole by the acts, omissions or negligence of the Permittee or any of its directors, officers, subcontractors, agents or employees. The Permittee further undertakes to reimburse the Authority for damage to property of the Authority caused by the Permittee, or any of its directors, officers, agents, subcontractors or employees, or by faulty, defective or unsuitable material or equipment used by it or any of them. The existence of insurance shall in no way limit the scope of this indemnification. Permittee's obligations under this indemnity shall survive the expiration or termination of Permittee's permit(s).

ATTESTATION

I hereby certify that the information provided herein and attached hereto is true and correct and that I have read and will comply with the requirements of CRRA's Solid Waste Permitting, Disposal and Billing Procedures governing the collection and disposal of solid waste within the CRRA. I further certify that I am authorized to sign this certification on behalf of the Permittee. This document shall not be considered in any way in conflict with the applicable Permitting, Disposal & Billing Procedures or Solid Waste Delivery Agreement but shall be considered a part thereof.

DATE	SIGNATURE OF APPLICANT					TITLE				_
•	day of	ly app	eared				(Title	`	_ (Name of . of Officer) Officer)	who
acknowledged	himself/herself	to			Corporation),		ion, and	that	he/she as	such
1	(Title of Officer), be									
	and certified that tration of himself/ h			_		application in of Officer).	s true an	d corre	ect, by signi	ng the
In witness whereof	hereunto set my har	nd								
					(Signature o	of Notary Publi	ic)			
Date Commission E	xpires:									

PART V: SOLID WASTE DELIVERY AGREEMENT

REQUIRED FOR "WASTE HAULERS" ONLY

De-attached from this package

This document applies to the delivery of Municipal Solid Waste from participating towns (Tier 1 through Tier 3 towns). Please execute this agreement (two originals are required) and return with your application. After review and completion by CRRA, one copy will be returned to you for your files.

Questions regarding this document should be directed to CRRA's Operations Senior Analyst at (860) 757-7700.

ATTACHMENTS

ATTACHMENT A – SAMPLE CERTIFICATE OF INSURANCE (ACORD Form)

ATTACHMENT B – GUARANTY OF PAYMENT

B1 SAMPLE FINANCIAL GUARANTEE BOND (Required Format For All Bonds)
 B2 SAMPLE BANK LETTER OF CREDIT (Required Format For All Letters of Credit)

ATTACHMENT C - CURRENT FISCAL YEAR PRICING TABLES

ATTACHMENT A

SAMPLE CERTIFICATE OF INSURANCE

required Limits of Liability as outlined in the Project Permitting, Disposal and Billing Procedures

CERTIFICATE OF INSURANCE ISSUED DATE (MM/DD/YY) ACORD This certificate is issued as a matter of information only and confers 1 Producer Agent/Broker's no rights upon the certificate holder. This certificate does not amend, name & address HAPPY INSURANCE CO. extend or alter the coverage afforded by the policies below 44 MAIN STREET Your business Α COMPANY LETTER HOME TOWN, USA 888888 name & address В COMPANY LETTER Name of Insurance 2 companies issuing Insured COMPANY LETTER C your policies. ABC HAULING CO. INC. D COMPANY LETTER 111 OLD TOWN ROAD Coverage you carry. HOME TOWN, USA 88888 Е COMPANY LETTER CRRA must be listed as an additional insured 4 coverages This is to certify that the policies of insurance listed below have been issued to the insured name above for the policy period indicated, 30 days cancellation notwithstanding any requirement, term or condition of any contract or other document with respect to which the certificate may be issued or notice required may pertain, the insurance afforded by the policies described herin is subject to all the terms, exclusions and conditions of such policies, CRRA must have the limits shown may have been reduced by paid claims LIMITS POLICY EFFECTIVE POLICY EXPIRATION ORIGINAL certificate TYPE OF INSURANCE POLICY NUMBER co i tr DATE (MM/DD/YY) DATE (MM/DD/YY) bearing the original GENERAL AGGREGATE GENERAL LIABILITY signature. PRODUCTS-COM/OP AGG \$ X] commercial general liability \$ PERSONAL & ADVINJURY CRRA's name and If I claims made [x] occur EACH OCCURRENCE address (as written Jowner's & contractor's prot FIRE DAMAGE (Any one fire) on sample) COMBINED SINGLE LIMIT AUTOMOBILE LIABILITY BODILY INJURY [X] any auto \$ В [X] all owned autos (per person) BODILY INJURY [] scheduled autos (per accident) [] hired autos \$ PROPERTY DAMAGE] non-owned autos AUTO ONLY-EA ACCIDENT GARAGE LIABILITY OTHER THAN AUTO ONLY: [] any auto EACH ACCIDENT 1 AGGR EGATE \$ \$ EACH OCCURRENCE EXCESS LIABILITY \$ AGGREGATE С [] umbrella form] other than umbrella form X STATUTORY LIMITS WORKER'S COMPENSATION EACH ACCIDENT \$ and EMPLOYERS' LIABILITY DISEASE-POLICY LIMIT \$ the proprietor/partners/ [lind DISEASE-EACH EMPLOYEE executive offers are: []excl OTHER DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/SPECIAL ITEMS ADDITIONAL INSURED: CONNECTICUT RESOURCES RECOVERY AUTHORITY CANCELLATION CERTIFICATE HOLDER Should any of the above described policies be canceled before the ex-Connecticut Resources Recovery Authority expiration date thereof, the issuing company will endeavor to mail 6 30 DAYS written notice to the certificate holder named to the left, but Attn: Billing Department failure to mail such notice shall impose no obligation or liability of any kind 100 Constitution Plaza, 6th Floor upon the company, its agents or representatives. Hartford, CT 06103 Authorized Representative

ATTACHMENT A

(Reverse Side)

CRRA'S INSURANCE REQUIREMENTS

(ALL PERMITTEES & SUBCONTRACTOR MUST MEET THESE LIMITS)

as stated in Permitting, Disposal and Billing Procedures

Each Permittee shall procure and maintain, at its own cost and expense, throughout the term of any permit issued to such Permittee, the following insurance, including any required endorsements thereto and amendments thereof:

Commercial general liability insurance alone or in combination with, commercial umbrella insurance with a limit of not less than one million (\$1,000,000.00) dollars each occurrence covering liability arising from premises, operations, independent contractors, products-completed operations, personal injury and advertising injury, and liability assumed under an insurance contract (including the tort liability of another assumed in a business contract).

Business automobile liability insurance alone or in combination with commercial umbrella insurance covering any auto (including owned, hired, and non-owned autos), with a limit of not less than one million (\$1,000,000.00) dollars each accident.

Workers' compensation insurance with statutory limits and employers' liability limits of not less than five hundred thousand (\$500,000.00) dollars each accident for bodily injury by accident and five hundred thousand (\$500.000.00) dollars for each employee for bodily injury by disease.

Each applicant or Permittee shall submit along with its permit or permit renewal application to the Authority an executed original certificate or certificates for each above required insurance certifying that such insurance is in full force and effect and setting forth the requisite information referenced in Section 3.1(c) below. Additionally, each Permittee shall furnish to the Authority within thirty (30) days before the expiration date of the coverage of each above required insurance a certificate or certificates containing the information required in Section 3.1(e) below and certifying that such insurance has been renewed and remains in full force and effect.

All policies for each insurance required above shall:

- •Name the Authority as an additional insured (this requirement shall not apply to automobile liability or workers' compensation insurance);
- •Include a standard severability of interest clause;
- •Provide for not less than thirty (30) days' prior written notice to the Authority by registered or certified mail of any cancellation, restrictive amendment non-renewal or change in coverage;
- •Hold the Authority free and harmless from all subrogation rights of the insurer; and
- •Provide that such required insurance hereunder is the primary insurance and that any other similar insurance that the Authority may have shall be deemed in excess of such primary insurance.

All policies for each insurance required above shall be issued by insurance companies that are either licensed by the State of Connecticut and have a Best's Key Rating Guide of A-VII or better, or otherwise deemed acceptable by the Authority in its sole discretion.

Subject to the terms and conditions of this Section 3.1, any applicant or Permittee may submit to the Authority documentation evidencing the existence of umbrella liability insurance coverage in order to satisfy the limits of coverage required hereunder for commercial general liability, business automobile liability insurance and employers' liability insurance.

If any Permittee fails to comply with any of the foregoing insurance procedures, then the Authority may in its sole discretion deny such Permittee any further access to the Facilities and/or suspend or revoke its permit for same.

No provision of this Section 3.1 shall be construed or deemed to limit any Permittee's obligations under these procedures to pay damages or other costs and expenses.

The Authority shall not, because of accepting, rejecting, approving, or receiving any certificates of insurance required hereunder, incur any liability for:

- •The existence, nonexistence, form or legal sufficiency of the insurance described on such certificates,
- •The solvency of any insurer, or
- •The payment of losses.

For purposes of this Section 3, the terms applicant or Permittee shall include any subcontractor thereof.

Indemfication

Permittee shall at all times defend, indemnify and hold harmless the Authority, any Operator and their respective directors, officers, employees and agents on account of and from and against any and all liabilities, actions, claims, damages, losses, judgments, fines, workers' compensation payments, costs and expenses (including but not limited to attorneys' fees and court costs) arising out of injuries to the person (including death), damage to property or any other damages alleged to have been sustained by: (a) the Authority, any Operator, or any of their respective directors, officers, employees, agents or subcontractors or (b) Permittee or any of its directors, officers, employees, agents or subcontractors, or (c) any other person, to the extent any such injuries or damages are caused or alleged to have been caused, in whole or in part, by the acts, omissions and/ or negligence of Permittee or any of its directors, officers, employees, agents or subcontractors. Permittee further undertakes to reimburse the Authority for damage to property of the Authority caused by Permittee or any of its directors, officers, employees, agents or subcontractors. The existence of insurance shall in no way limit the scope of this indemnification. Permittee's obligations under this Section 3.2 shall survive the termination or expiration of Permittee's permits.

ATTACHMENT B1

FINANCIAL GUARANTEE BOND SAMPLE FORMAT

Please Use This Format For All Financial Guaranteed Bonds

FINANCIAL GUARANTEE BOND

BOND#

Know all men by these presents, that (NAME OF HAULER), a (STATE OF HAULER'S INCORPORATION) corporation, as principal, and (NAME OF SURETY), a (STATE OF SURETY'S INCORPORATION) corporation, as surety, are held and firmly bound unto Connecticut Resources Recovery Authority ("CRRA"), in the sum of \$, lawful money of the United States of America, to be paid to the said CRRA, its successors or assigns, for which payment, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.
This Bond is to be effective from (DATE) to (DATE) (The "Expiration Date").
The condition of this obligation is such that, whereas, the above-bounded principal agrees to pay to CRRA all charges including, but not limited to Disposal Charges, Penalties, Fines, Interest Charges, and Adjustments incurred at All CRRA Waste Processing Facilities, Landfills, Transfer Stations, and Recycling Facilities.
It is understood by the undersigned parties that should the principal be delinquent in the above described payments, CRRA will then notify (NAME OF SURETY) in writing of CRRA's claim and (NAME OF SURETY) shall immediately pay the amount of such claim to CRRA, subject to the terms of this bond.
Now, therefore, if the above described payments are well and truly made, then the bond shall be null and void, otherwise it shall remain in full force and effect. It is a condition of this bond that it is deemed to be automatically extended without amendment for one (1) year from the expiration date hereof, or any future expiration date, unless sixty (60) days <u>prior</u> to the expiration date hereof (or any future expiration), (NAME OF SURETY) notifies CRRA by registered mail that (NAME OF SURETY) elects not to renew this bond.
In Witness whereof, we have set our hands and seals this day of, 200
R_{V}
By:(SURETY COMPANY)
By:
Signed, Sealed, and Accepted Connecticut Resources Recovery Authority
By:Authorized Signature
Title

ATTACHMENT B2

SAMPLE BANK LETTER OF CREDIT

Please Use This Format For All Letters Of Credits (To be issued by a Bank pre-approved by CRRA)

LETTER OF CREDIT

(Name & Address of Issuing Bank

Except as expressly stated herein, this undertaking is not subject to any agreement, condition or qualification. The obligation of [name of the issuing Bank] under this Letter of Credit is the individual obligation of [name of the issuing Bank] and is in no way contingent upon reimbursement with respect thereto.

This Letter of Credit shall be automatically extended without amendment for one (1) year from the expiration date hereof, or any future expiration date, unless not later than sixty (60) days <u>prior</u> to the expiration date stated above or the then current expiration date we notify you by registered mail that we elect not to renew this Letter of Credit for any such additional period.

We hereby agree that all drafts drawn under and in compliance with the terms of this Letter of Credit will be duly honored by us at your first demand, notwithstanding any contestation or dispute between you and [name of company], if presented to us in accordance with the provisions hereof.

This Letter of Credit is subject to and governed by the laws of the State of Connecticut, the decisions of the courts of that state, and the Uniform Customs and Practice for Documentary Credits (2007 Revision) International Chamber of Commerce Publication No. 600, and in the event of any conflict, the laws of the State of Connecticut and the decision of the courts of that state will control. If this Letter of Credit expires during an interruption of business of this bank as described in Article 17 of said Publication 600, [name of issuing Bank] hereby specifically agrees to effect payment if this Letter of Credit is drawn against within thirty (30) days after the resumption of business from such interruption.

Very truly yours,

Authorized Signature for [name of issuing Bank]

ATTACHMENT C

CURRENT FISCAL YEAR PRICING TABLES

INSERT APPROPRIATE CURRENT PRICE LISTING

LISTED SEPARATELY